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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor: Snow et al.

Examiner:

Serial No.: 10/077,596

Group Art Unit: 1614

Filing Date: 02/15/2002

Attorney Docket No.: PROTEO.P18CI

Title of Invention: Proanthocyanidins for the Treatment of Amyloid and Alpha-Synuclein Diseases

Seattle, Washington 98109
July 11, 2002

Box MISSING PARTS
TO THE COMMISSIONER FOR PATENTS
Washington, D.C. 20231

TRANSMITTAL

Further to our 02/15/2002 application transmittal, Applicant herewith submits the following items to complete the filing requirements (copy of PTO Notice to File Missing Parts attached as required):

1. Combined Declaration and Power of Attorney signed by each inventor;
2. Filing fee (\$370 small entity) with extra claims fee (\$252 for 6 additional independent claims, plus \$324 for 36 additional dependent claims) and surcharge for deferred filing (\$65 small entity), for a check total of \$1,011;
3. Corrected drawings (55 sheets, figures 1 - 57).

Having complied with all of the filing requirement of 37 CFR 1.53, Applicant respectfully requests that this application be placed upon the files for examination.

Respectfully submitted,

PATRICK MICHAEL DWYER
Reg. No. 32,411

Tel. No. (206) 343-7074
P18CI-MSGPT.TRN

PATRICK M. DWYER
PROTEOTECH, INC.
1818 WESTLAKE AVENUE N, SUITE 114
SEATTLE, WA 98109

CERTIFICATE OF MAILING (37 CFR 1.8a)

I hereby certify that this paper (along with any paper referred to as being attached or enclosed) is being deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the Commissioner of Patents and Trademarks, Washington, D. C. 20231.

July 11, 2002

Patrick Dwyer

1-8PCRT.INS



HS
PATRICK M. DWYER PC

LAW OFFICES

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July 11, 2002

Office of Initial Patent Examination
Filing Receipt Corrections
Commissioner for Patents
Washington, D.C. 20231

RE: Applicants: Alan D. Snow et al.
Serial No.: 10/077,596
Filing Date: 02/15/2002
Title: Proanthocyanidins for the Treatment of Amyloid and Alpha-Synuclein
Diseases
PROTEO.P18CI

To whom it may concern:

The filing receipt for the above identified application was recently received (copy enclosed) and typographical errors noted therein. We request that the following correction to the filing receipt be considered timely:

The Applicants listed on the filing receipt are incorrect. The first name for inventor "Castillo" should read:

"Gerardo" and not "Geraldo"

Thank you for your cooperation. Please call the Applicants' attorney if there are further questions.

Very truly yours,

Patrick Michael Dwyer
PMD:gw P18CI-CORR.PTO



UNITED STATES PATENT AND TRADEMARK OFFICE

COPY

Page 1 of 2

EDKJd

7-13-02

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO.	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/077,596	02/15/2002	1614	0.00	PROTEO.P18CI	55	56	9

PATRICK M. DWYER
PROTEOTECH, INC.
SUITE 114
1818 WESTLAKE AVENUE N.
SEATTLE, WA 98109

CONFIRMATION NO. 2850

FILING RECEIPT



OC00000008084632

Date Mailed: 05/13/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Gerardo

Alan D. Snow, Residence Not Provided;
~~Gerardo~~ M. Castillo, Residence Not Provided;
Paula Y. Choi, Residence Not Provided;
Beth P. Nguyen, Residence Not Provided;RECEIVED
MAY 21 REC'D
LAW OFFICES OF
PATRICK MICHAEL DWYER

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 10/053,625 11/02/2001
AND IS A CIP OF 09/753,313 12/29/2000
AND IS A CIP OF 09/938,987 08/24/2001
WHICH IS A CON OF 09/079,829 05/15/1998
WHICH CLAIMS BENEFIT OF 60/046,672 05/10/1997 *
THIS APPLICATION 10/077,596
CLAIMS BENEFIT OF 60/338,721 12/04/2001
AND CLAIMS BENEFIT OF 60/339,033 12/10/2001
AND CLAIMS BENEFIT OF 60/276,866 03/15/2001 *
AND CLAIMS BENEFIT OF 60/338,969 12/10/2001
(*)Data provided by applicant is not consistent with PTO records.

Foreign Applications

If Required, Foreign Filing License Granted 05/10/2002

Projected Publication Date: To Be Determined - pending completion of Missing Parts

#3



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/077,596	02/15/2002	Alan D. Snow	PROTEO.P18CI

CONFIRMATION NO. 2850

FORMALITIES LETTER



OC000000008084633

PATRICK M. DWYER
PROTEOTECH, INC.
SUITE 114
1818 WESTLAKE AVENUE N.
SEATTLE, WA 98109

Date Mailed: 05/13/2002

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

07/18/2002 HMARZ11 00000098 10077596

FILED UNDER 37 CFR 1.53(b)

01 FC:201
02 FC:202
03 FC:203
04 FC:205

370.00 OP
252.00 OP
324.00 OP
65.00 OP

Filing Date Granted

Items Required To Avoid Abandonment:

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
 - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

2009T06071502

Items Required To Avoid Processing Delays:

The item(s) indicated below are also required and should be submitted with any reply to this notice to avoid further processing delays.

- Additional claim fees of **\$1152** as a non-small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due.

SUMMARY OF FEES DUE:

Total additional fee(s) required for this application is **\$2022** for a Large Entity

- **\$740** Statutory basic filing fee.
- **\$130** Late oath or declaration Surcharge.
- Total additional claim fee(s) for this application is **\$1152**
 - **\$648** for **36** total claims over 20.
 - **\$504** for **6** independent claims over 3.

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*A copy of this notice **MUST** be returned with the reply.*

Z. Hays

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

1007596-071602